

The Lifting Operations and Lifting Equipment Regulations 1998 Checklist

This National Forklift Safety Day Emphasises the Importance of Health and Safety

As the UK's leading trade association for the material handling sector, the UKMHA is dedicated to helping members and non-members uphold the highest safety standards. This year, our National Forklift Safety Day (NFSD) campaign focuses on what to do in the event of an incident, backed by the slogan "**Prevent - Manage - Learn**".

NFSD is an ideal opportunity for us to remind ourselves of the importance of robust health and safety protocols. Adhering to the latest health and safety legislation is both a legal requirement and an essential element of workplace safety.

The Lifting Operations and Lifting Equipment Regulations 1998 (LOLER) require that lifting operations are planned, carried out, and supervised by appropriately competent persons. The Regulations also require that the equipment be fit for purpose and subject to mandatory "Thorough Examination". The HSE Approved Code of Practice, L113, provides detailed guidance and can be obtained from <https://www.hse.gov.uk/pubns/priced/l113.pdf>.

Specific Guidance on operator training and the safe use of rider-operated lift trucks is provided in HSE ACOP L117, which can be obtained from <https://www.hse.gov.uk/pubns/priced/l117.pdf>.

The following checklist will help businesses ensure they are compliant with the Lifting Operations and Lifting Equipment Regulations 1998. It is designed to be used as part of an audit process which identifies areas where improvements are needed.

Checklists to assess compliance, such as this and the Provision and Use of Work Equipment Regulations 1998, are part of our 2024 NFSD campaign. Safe User Group members can also access our complete range of compliance checklists and Health and Safety Manual guidance in the members area of the UKMHA website. For more information about SUG membership please visit <https://ukmha.org.uk/safe-user-group/>

USING THE CHECKLIST

In the COMPLIANCE columns, indicate the stage of compliance found by placing a tick as appropriate in the YES, NO, RW (*Requires Work*) and N/A (*Not Applicable*) columns.

The 'RW' column further explained; Often, something will have been done but this will be judged insufficient to ensure compliance. In that case, a tick in the RW (*Requires Work*) column indicates that work needs to be done to improve, review or update as appropriate.

In the ACTION columns, space is given to allow a person to be nominated to take the required action, and for a target date to be allocated for the work to be completed.

The COMMENTS column can be used to prioritise the actions, comment on the work to be done, note progress or make a cross reference as necessary.

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Check Questions	Compliance				Action		Comments
	Yes	No	RW	N/A	By Whom	Target Date	
All equipment with a significant risk of overturning is fitted with an automatic safe load indicator?							
Lifting equipment used for lifting persons is suitable for the purpose and clearly marked with SWL and capacity?							
Those persons being carried are not at risk of being trapped, crushed or falling?							
Lifting equipment used for lifting persons is fitted with an appropriate means of preventing the carrier from falling?							
Any such lifting equipment that may be used for lifting persons, but is found to be not suitable to do so, has been sufficiently marked as to alert staff not to use?							
Is a regime for 'Thorough Examination' of all lifting equipment and lifting gear in place?							

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Check Questions	Compliance				Action		Comments
	Yes	No	RW	N/A	By Whom	Target Date	
The above is known to Management & Supervisory staff?							
'Thorough Examinations' & 'Inspections' are always carried out by competent persons?							
Written Reports of such examinations are retained for future reference and subsequent inspection?							
All lifting equipment leaving the premises is always accompanied by its last 'Thorough Examination' report?							